

#-1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 29, 2002.

Deborah A. Peacock, Reg. No. 31,649

Jeffrey D. Myers, Reg. No. 35,964

March 29, 2002

(Date)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

**Brad Baker** 

: Art Unit: 1761

Serial No.:

10/040,576

: Examiner: Unknown

Filed:

December 28, 2001

For:

PAINT SET CONFECTIONERY

### INFORMATION DISCLOSURE STATEMENT

#### **Box MISSING PARTS**

Commissioner for Patents Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the application and prior to the mailing date of a first Office action. Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Dated: March 29, 2002

Deborah A. Peacock, Reg. No. 31,649

Direct line: (505) 998-1501

Enclosures: PTO Forms 1449;

Copy of References

Peacock, Myers & Adams, P.C. Attorneys for Applicant P.O. Box 26927 Albuquerque, NM 87125-6927 (505) 998-1500 FAX (505) 243-2542 Customer No. 005179 Approved for use through 10-31-99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

+

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO				1	a valid Giving Rumber.					
Substitute f	for form 144	9A/PTO		COMPLE	COMPLETE IF KNOWN					
ľ		N DISCLOS		Application	n Number	10/040,576				
STATE	MENT B	Y APPLICA	NT	Filing Date		December 28	, 2001			
(u	ise as many sh	eets as necessary	OILE,	First Name	d Inventor	Baker				
		(	APR 0 4 2002	Group Art	Unit	1761				
		P	8 4	Examiner N	Name					
Sheet	1	of	RADFUATE.	Attorney D	ocket Number	30687-CIP				
U.S. PATE	NT DOCU	MENTS								
Examiner Initials	Cite No.			Name of Patente Applicant of C Document	Document Publication W Occument of Cited Pass		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Where Relevant Passages or Relevant		
		D 016,030		A. Schwarzsch	ild et al.	04/07/1885				
		D 062,611		A. Hochstraser		07/03/1923				
		D 092,473		E. Keller	. Keller					
		D 177,206		J. Babcock		03/27/1956				
		D 229,522		W.A. Parker		12/11/1973				
<u>-</u>		D 264,038		E. Guttman		04/27/1982				
		D 264,169		E. Guttman		05/04/1982				
		D 264,297		S. Kupperman	et al.	05/11/1982				
1/19		D 264,298		E. Guttman		05/11/1982				
		D 269,559		J.M. Sellares		07/05/1983				
FOREIGN I	PATENT D	OCUMENTS								
Examiner Initials	Cite No.	Foreign Pa	tent Document Kind  Ger Code (if known)	Name of P. Applicant Docur	of Cited	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T 6		
Examiner Signature					Date Considered					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[F:\ANNETTE\ImpactConf-1449p1.PTO.doc] 30687-1001

<sup>1.</sup> Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.

+ Approved for use through 10-31-99, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork	Reduction A	Act of 1995 no person	s are required to respo	nd to a collection of info	ormation unless it cont	ains a valid OMB contro	l number.	
Substitute for form 1449A/PTO				COMPLET	TE IF KNOWN			
INFORMA	ATION	DISCLOSU	JRE	Application	Number	10/040,576		
STATEMI	ENT BY	Y APPLICA	.NT	Filing Date	Filing Date December 28, 2001		2001	
(use a	s many she	ets as necessary)	OIPE	First Named	Inventor	Baker		
		(	APR 0 4 2000	Group Art U	Jnit	1761		
		Į.		Examiner N				
Sheet	2	of	RADEMARI	Attorney Do	cket Number	30687-CIP		
U.S. PATENT	DOCUM							
Examiner Initials				Name of Patentee or Applicant of Cited Document		of Pages, Columns, Lines, ation Where Relevant ted Passages or Relevant Figures Appear		
		D 247,146		S. Harrison		05/24/1994		
		1,718,997		H.B. Burt		07/02/1929		
		1,889,882		R.M. Woods	ods 12/06			
		1,983,685		R.H. Townsley	R.H. Townsley			
	2,281,267 E		E.M. Chapman		04/28/1942			
		2,647,681		S.A. Paoli		08/04/1953		
		2,824,010		C.G. Pedersen	Pedersen 02/18			
		2,832,981		F.E. Bruehan		05/06/1958		
	,	3,312,555		E.R. Rossi, et a	1.	04/04/1967		
		3,386,792		J.M. Ireland		06/04/1968		
FOREIGN PA	TENT D	OCUMENTS						
Examiner Initials	Cite No.		tent Document Kind ber 4 Code (if known)	Name of Pa Applicant Docum	of Cited	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T 6
Examiner Date Signature Considered								

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[F:\ANNETTE\ImpactConf-1449p2.PTO.doc] 30687-1001

<sup>1.</sup> Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.



Substitute for	form 1449	PA/PTO		COMPLETE IF KNOWN				
INFORM	ATION	DISCLOSU	JRE	Application Number	10/040,576			
STATEM	IENT B	Y APPLICA	PE	Filing Date	December 28, 2001			
(use	as many she	eets as necessary)	ر. ر <sup>ا</sup> ي	First Named Inventor	Baker			
			APR 0 4 2002 6	Group Art Unit	1761			
		E	THANF MALE	Examiner Name				
Sheet	3	of	6	Attorney Docket Number	30687-CIP			
U.S. PATEN	T DOCUM	MENTS						
Examiner Initials	Cite No. <sup>1</sup>	1	i <u>Document</u> Cind Code  (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		3,413,128		R.H. Steinbarth, et al.	11/26/1968			
		3,431,041		E.B. Fontiladasa	03/04/1969			
	3,840,678		D.M. Price	10/08/1974				
		4,317,838		S.R. Cherukuri, et al.	03/02/1982			
		4,335,147		H. Hollich	06/15/1982			
		4,471,507		M. Schwartz	09/18/1984			
		4,547,926		G.G. Kern	10/22/1985			
		4,660,592		A. Dobis	07/15/1986			
		4,061,783		L.S. Hoffman, et al.	12/06/1977			
		4,902,519		R.L. Ream, et al.	02/20/1990			
FOREIGN P	ATENT D	OCUMENTS						
Examiner Initials	Cite I No.	Foreign Pa	tent Document Kind 4 Code ber Code (if known)	Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T 6	
Examiner Signature			-	Date Considered			_	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[F:\ANNETTE\ImpactConf-1449p3.PTO.doc] 30687-1001

<sup>1.</sup> Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.

+

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO					COMPLET	TE IF KNOWN						
INFORMA	TION	DISC	LOSU	JRE			Application	Number		10/040,576		
STATEMENT BY APPLICANT					Filing Date	Filing Date December 28, 2001		2001				
(use a	s many she	ets as nec	essary)	_	\$ \f		First Named Inventor Baker					
APR (1 2000 in)				Group Art U	nit		1761					
		_	(F)	\$ m.	are del		Examiner Na	ame				
Sheet	4		of	6			Attorney Do	cket Number		30687-CIP		
U.S. PATENT	DOCUM	IENTS										
Examiner Initials	Cite No.'			Name of Patentee Applicant of Cite Document	Cited Publication		Publication of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		4,935	,189	K.		K.	. Mochizuki, et al.		00	5/19/1990		
		5,077	,050		R.		J. Wall		12/31/1991			
		5,218	,733		J.		M. Leu		06	5/15/1993		
		5,270	,884	1		Т.,	T.J. Coleman		12	2/06/1994		
		5,380	,539	Г		D.	D. Spector		01	1/10/1995		
	<u> </u>	5,451,	,176			T.	Beltman		09/19/1995			
		5,545,	,069			K.	P. Glynn, et a	ıl.	08	3/13/1996		
*		5,634,	,885			Α.	Kiro		06/03/1997			
		5,676,	988			Т	J. Coleman, e	t al.	10	)/14/1997		
		5,683,	762			K	K.A. Banschick		11	/04/1997		
FOREIGN PA	TENT D	OCUME	ENTS			, <u> </u>						
Examiner Initials	Cite No.	Office 3	oreign Pa Numb	er 4	ument Kind Code f known)		Name of Patentee of Applicant of Cited Document			Date of Publication of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T 6
Examiner Signature								Date Considered				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[F:\ANNETTE\ImpactConf-1449p4.PTO.doc] 30687-1001

<sup>1.</sup> Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.



Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO COMPLETE IF KNOWN **Application Number** 10/040,576 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date December 28, 2001 First Named Inventor Baker (use as many sheets as necessary Group Art Unit 1761 **Examiner Name** 5 Sheet of Attorney Docket Number 30687-CIP **U.S. PATENT DOCUMENTS** Examiner Cite U.S. Patent Document Name of Patentee or Date of Pages, Columns, Lines, Initials Applicant of Cited No. Publication Where Relevant Kind Code Document of Cited Passages or Relevant Number (if known) Document Figures Appear 5,701,629 T.D. O'Brien 12/30/1997 5,718,931 D.L. Walter, et al. 02/17/1998 5,960,946 G.D. Gramlich 10/05/1999 FOREIGN PATENT DOCUMENTS Examiner Cite Foreign Patent Document Name of Patentee of Date of Pages, Columns, Lines Initials Applicant of Cited Publication Where Relevant No. Kind Office <sup>3</sup> Number 4 Code 5 Document of Cited Passages or Relevant T Document Figures Appear (if known) Examiner Date Signature Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[F:\ANNETTE\ImpactConf-1449p5.PTO.doc] 30687-1001

<sup>1.</sup> Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.

#### **,** +

# Approved for use through 10-31-99. OMB 0651-003 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ż	Unider the Pap	erwork R	eduction Act of	1 1995, no	persons	are required to respond to a collection of information	tion unless it contains a valid OMB control number.		
Substitute for form 1449B/PTO				B/PTO		COMPLETE IF KNOWN			
INFORMATION DISCLOSURE						Application Number	10/040,576		
	STATEMENT BY APPLICANT					Filing Date	December 28, 2001		
					~)	First Named Inventor	Baker		
	(use as many sheets as necessary APR 0 4 2002 &					Group Art Unit	1761		
	TRADEMINE TO		Examiner Name						
	Sheet	6	of	6		Attorney Docket Number	30687-CIP		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	The state of the dather (in ord fire as 22 f 2 fts); the or article (inter appropriate); the or					
		B.I.P. HOLLAND B.V., product photo for PAINT POP					
		FUN FACTORY (div of TriMax Group, Inc.), product photo for RAINBOW ROLLER LOLLIPOP (2002)					
		TRADEMARK information for Reg. No. 2,554,171 BABY BOTTLE POP issued 8/3/2000 to Topps Company, Inc.					
		TRADEMARK information for Reg. No. 2,374,485 PAINT BRUSH LOLLIPOPS, issued 8/8/2000 to Foreign Candy Company, Inc.					
			-				
		<u> </u>	Ш.				

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[\VDM\DOCS\ANNETTE\ImpactConf-1449pg6.PTO.doc] 30687-1001

Unique citation designation number. 2. Applicant is to place a check mark here if English language Translation is attached.